

ANTI-BRIBERY AND ANTI-CORRUPTION COUNTERPARTY QUESTIONNAIRE

No-----

Numbering rule: Project Country_ProjectName_Counterparty_Year_SerialNumber_E,
e.g. Italy_Florence_Vespuccipark#1_ACME_2021_001_E

This questionnaire is to be completed by the Counterparty ("Company") of Emeren Limited and its affiliates ("EML") for the compliance with the Anti-Bribery and Anti-Corruption Policy of EML and relevant regulations. Please use additional pages as needed.

Company Information – General Information:

Name/Company Name	
Type/Description of Business	
Street Address	
Mailing Address	
Telephone Number	
Facsimile Number	
Internet Address	
Principal Contact Name	
Phone Number of Principal Contact	
Email Address of Principal Contact	
Country(ies) in which Company Operates	
Legal Status of Company (Partnership, Corporation, etc.)	
Date and Place of Establishment/Incorporation	
Registration or Formation Number	

Please provide copies of:

- * Certifications from trade register or chamber of commerce
- * Articles of Incorporation or Articles of Association
- * Passport (if Company is an individual or sole proprietor)

Does the Company satisfy all applicable operating license requirements within the countries it operates in?

Country of Operation	Operating License Number	Issuing Agency

Issuer of securities:

1. Is the Company or any parent company of the Company an issuer of U.S. securities? YES / NO
If yes, please provide ticker name:

2. Is the Company listed or any parent company of the Company listed on a stock exchange? YES / NO

Is yes, please provide details:

Name of Company	Name of Parent Company	Stock Exchange listed in	Ticker Name

3. Has the Company or its parent company issued American Depository Receipts? YES / NO
Is yes, please provide details:

Intermediate & Ultimate Parent Company(ies):

Ownership

Is the Company privately or publicly held?		
If privately held, please provide details:		
Owner Name	Nationality	Percent Ownership

Management and Responsible Individuals

Identify the name, citizenship and country of residency of each individual.

	Name	Citizenship	Country of Residency
CEO/President			
Directors and Officers			
Individual Managing Project			
Individuals Working on the Project	1		
	2		
	3		
Previous Company Name(s) Used Within Last 5 Years	1.	2.	3.
Professional Organizations to which the Company Belongs	1.	2.	3.

Governmental Affiliations

Are any of the following Public Officials? ¹ 1) the Company; 2) an Associated Person; ² or 3) any owner, officer, director or employee of the Company or Associated Person?	____ Yes ____ No		
If "Yes," list names, titles and positions as a Public Official:			
Name	Title with Company	Position as Public Official	Date (From - To)
Do any of the following have close personal or professional affiliations with a Public Official: 1) the Company; 2) an Associated Person; or 3) any owner, officer, director or employee of the Company or Associated Person?		____ Yes ____ No	
If "Yes," list names, titles and positions as a Public Official:			
Name	Title with Company	Name of Public Official	Position/Title of Public Official
Are any of the following registered as a lobbyist (or similar person) in any jurisdiction: 1) the Company; 2) an Associated Person; or 3) any owner, officer, director or employee of the Company or Associated Person?		____ Yes ____ No	
If "Yes," list names, titles and registrations:			
Name	Title with Company	Registration details	Jurisdiction

¹ "Public Official" is any: (i) officer or employee of a government; (ii) officer or employee of an instrumentality of a government; (iii) person acting in an official capacity for or on behalf of a government or its instrumentality; (iv) officer or employee of a state-owned or controlled company; (v) political party; (vi) official of a political party; (vii) candidate for political office; (viii) employee of a public international organization, such as the United Nations; or (ix) an immediate family member of any of the foregoing.

² "Associated Person" is any affiliate, agent, sponsor, subcontractor, representative or consultant or other individual or entity that would assist with the project.

Proposed Relationship and Business Opportunity

Describe proposed relationship with EML and business opportunity:	
Describe the services to be provided:	
Does Company or any of its affiliates, or any Shareholder, Officer or Director of either, directly or indirectly, have interests in any party or projects which it intends to introduce to EML? Note: Print "N/A" if the transaction is not an introduction arrangement.	<input type="checkbox"/> Yes <input type="checkbox"/> No
If "Yes", please provide details on these interests.	

Associated Persons

Would the Company work with any Associated Persons in providing services to EML?	<input type="checkbox"/> Yes <input type="checkbox"/> No																		
If "Yes," list names, complete addresses and phone numbers of such Associated Persons. Please describe in detail what services these Associated Persons will be providing.																			
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Name</th> <th style="width: 15%;">Title</th> <th style="width: 20%;">Address</th> <th style="width: 15%;">Company</th> <th style="width: 15%;">Phone/Email</th> <th style="width: 20%;">Describe service to be provided</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>		Name	Title	Address	Company	Phone/Email	Describe service to be provided												
Name	Title	Address	Company	Phone/Email	Describe service to be provided														
Do you take steps to manage anti-corruption risk in connection with your engagement with Associated Parties?	<input type="checkbox"/> Yes <input type="checkbox"/> No																		
If "Yes," please provide details on the person(s) who have performed the due diligence and their position in your organization.																			
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Please describe the structure of the Company's compensation arrangement for each of its Associated Persons.																			

Financial Statements & References

For Companies that will: (1) be communicating with government authorities as part of the Company's work for EML; or (2) be compensated for its work for EML at a value greater than US\$100,000.

Please attach Company's financial statements (audited, if available) for the past three years, including balance sheets and profit and loss statements.

Indicate primary banking relationships and other relevant sources of financial support.

Bank/Company Name	Address	Contact Name	Phone Number

Indicate additional business references.

Company Name	Address	Contact Name	Phone Number
1.			
2.			

Please describe the Company's standard payment and invoicing procedures and method of payment (cash, cheque, wire transfers) for client contracts.

Banking Details

Please provide bank account details of the account where payments will be made				
Name of the Acc Holder	Name of the Bank	Acc Type (Company/Personal)	% to be paid	Other details

Legal Enforcement Proceedings

Has the Company or any of its affiliates been the subject of past or pending legal, arbitral, or regulatory proceedings?	___Yes ___No
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If yes, describe below:

Name	Title	Nature of Legal proceedings	Proceeding Results

Has the Company, any of its affiliates or Associated Persons, or any of its owners, officers or directors ever been the subject of a criminal indictment or conviction?	___Yes ___No
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If yes, describe below:

Name	Title	Nature of Criminal Indictment/Conviction	Results

Compliance & Training Accountability

Please describe the Company's compliance program, including compliance with anti-corruption legal requirements.

Does the company provide compliance policy to its employees and Associated Persons (if any)? YES / NO

Does the Company provide compliance training to its employees and Associated Persons (if any) with respect to compliance with anti-corruption legal requirements? YES / NO

Other Information

Please provide any additional information that will assist EML in evaluating your questionnaire response, including any information about policies for compliance and historical compliance with anti-corruption legal requirements.

Certification

The Company acknowledges and agrees that it is the written and established policy of EML to comply fully with all applicable laws and regulations.

A. The Company warrants and represents that it has not in the past, and will not in the period between now and execution of an agreement with EML, take any action that may constitute a violation, or implicate EML in a violation, of any anti-corruption or similar law, without limitation, the U.S. Foreign Corrupt Practices Act, and the U.K. Bribery Act.

B. The Company agrees that any Company agreement with EML will contain, among other anti-corruption commitments, commitments that accord EML audit and termination rights in relation to bribery and corruption matters.

The undersigned confirms that he or she is suitably qualified and authorized by the Company to give the certifications, warranties and agreements contained in this questionnaire and that the same has been authorized by all and any necessary corporate action.

The undersigned herewith agrees that this questionnaire and the information provided herein may be processed by EML. He or she expressly acknowledges and agrees that this will include data transfer to other countries in which EML operates.

The undersigned understands and acknowledges that any misrepresentations made in this questionnaire and any noncompliance with the above representations will constitute grounds for termination of the project, any business between EML and Company (including their respective affiliates) and any agreement into which the Company may enter with EML or any of each party's affiliates.

Signature	
Printed Name	
Title	
Date	